

Sunny Optical Technology (Group) Co., Ltd

Supply Chain Management Appeal Mechanism

I. Purpose

This mechanism aims to provide a safe, independent, and transparent communication and remedy channel for all stakeholders (including but not limited to suppliers, employees, community residents, Non-Governmental Organizations (NGOs), and customers) affected by the supply chain activities of Sunny Optical Technology (Group) Co., Ltd. (hereinafter referred to as "Sunny Optical Technology" or "the Group"). The Group commits to complying with the United Nations Guiding Principles on Business and Human Rights and implementing a "zero-retaliation" policy for any good-faith reporting.

II. Scope of Application

This mechanism covers the Group's full product lifecycle and the entire responsible supply chain, and accepts issues including but not limited to:

Labor rights and human rights violations (including forced labor, child labor, discrimination);

Occupational health and safety hazards;

Environmental protection violations (illegal discharges, improper hazardous waste handling);

Commercial bribery, corruption, and unfair competition;

Irresponsible procurement in mineral supply chains (3TG, cobalt, mica, etc.);

Other violations of the Group's *Social Responsibility Agreement*.

III. Appeal Channels

Stakeholders may submit information through any of the following channels (real name or anonymous):

Dedicated email: bgs@sunnyoptical.com.

Written letter: Group Strategic Resource Development Platform, No. 66-68 Fengle Road, Yangming District, Yuyao City, Zhejiang Province.

IV. Appeal Processing Procedure

1. Receipt and Acknowledgment: Upon receipt of an appeal, the Strategic Resource

Development Platform shall issue a confirmation acknowledgment within 7 working days.

2. Preliminary Assessment and Classification: A preliminary assessment shall be completed within 15 working days. Cases shall be classified by severity (General / Urgent / Critical). If the case is complex and an extension is needed, the appellant shall be notified in writing at least 3 working days in advance.

3. Independent Investigation: The Group Strategic Resource Development Platform shall organize an investigation team composed of members without conflicts of interest in the matter under appeal (third-party audit firms may be engaged when necessary) to conduct document review, site visits, and employee interviews.

4. Correction and Prevention: Upon verification of a violation, a Corrective Action Notice shall be issued to the relevant supplier with a specified deadline (30-60 days) for rectification. Suppliers that refuse to cooperate shall immediately be subject to supplier qualification suspension or removal procedures.

5 Result Feedback: Within 10 working days after the conclusion of the investigation, the appellant shall be provided with written findings and the basis for the disposition.

6. Right to Review: If the appellant disagrees with the disposition, they may apply to the Group Strategic Resource Development Platform for a review within 15 working days of receiving the feedback. The review result shall be the final conclusion.

V. Confidentiality and Anti-Retaliation Commitment

Except where disclosure is legally required, the appellant's identity shall not be disclosed to unrelated parties without their consent.

No management level is permitted to impose pay reduction, position reassignment, dismissal, or any form of threat on the reporter. Any retaliation, once verified, shall be treated as a serious violation. Suppliers involved shall be permanently disqualified from cooperation.

VI. Records and Continuous Improvement

All appeal records, investigation processes, and disposition results shall be fully archived and periodically reported to the Group's management and the ESG Management Committee as a basis for continuous improvement of the supply chain management system.

Sunny Optical Technology Supply Chain Appeal Information

Registration Form (Confidential)

Appellant Information	
Name/Organization Name (optional if anonymous)	
Contact Phone/Email (optional if anonymous, but recommended for acknowledgment receipt)	
Relationship with Sunny Optical Technology	☐ Supplier Employee ☐ Group Employee ☐ Community Resident ☐ Other
Core Appeal Information	
Subject/Supplier Name (required)	
Date of Incident	
Location/Factory Address (required)	
Appeal Category	☐ Labor/Human Rights ☐ Health & Safety ☐ Environmental Pollution ☐ Commercial Corruption ☐ Mineral Violation ☐ Other
Detailed Description	
Expected Solution	
Evidence and Authorization	
Whether Evidence Materials Are Attached	☐ Yes (attachments uploaded) ☐ No
Confidentiality and Contact Authorization	☐ I agree that Sunny Optical Technology may contact me to verify information during the appeal process. ☐ I request anonymous processing and do not agree to disclose my identity. (If this option is selected, the Group may be unable to provide feedback on processing details.)
Date of Submission	